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By :
Brendy Lynn Belony

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Hiroshi TANABE, et al.
Serial No. : 10/815,393
Filed : April 1, 2004
Title : THIN-FILM TRANSISTOR....

September 27, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

SIR:

In order to comply with discretionary regulations 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and a copy of the non US documents (if any) listed thereon. These documents contain information which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

Attached is a copy of a Japanese Office Action dated August 3, 2004 from the corresponding Japanese Patent Application, with translation. Such Office Action cited four documents. Two such documents, namely JP 08-288515 and JP11-125841 were cited in an IDS previously submitted on April 1, 2004.

The present Information Disclosure Statement is being submitted in compliance with 37 §CFR 1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed

as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 (a), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

As this statement is being filed prior to issuance of a first Office Action, no fee is due.

Early and favorable consideration is respectfully solicited.

Any fee due with this paper may be charged on Deposit Account 50-1290.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael I. Markowitz", written over a horizontal line.

Michael I. Markowitz
Reg. No. 30,659

Customer Number: 026304
Docket No: NECR. 19.194A



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U.S. Department of Commerce
Patent and Trademark Office

Application No. : 10/815,393

Filing Date :

First Named Inventor: H. TANABE

Group Art Unit :

Examiner Name :

Attorney Docket No. : NECR 19.194A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document	Kind Code if known ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear

FOREIGN DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY/Y	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		11-186163	JP	Matsushita Electric Ind. Co Ltd.	07-09-1999	
		2000-505241	JP	The Trustees of Columbia University in the City of New York	12-04-1997	

Other Prior Art-Non Patent Literature Documents

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, country, where published, source.	Applicant check her if English language translation attached
		Japanese Office Action with translation dated August 3, 2004	
Examiner Signature		Date Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1⁶ if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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